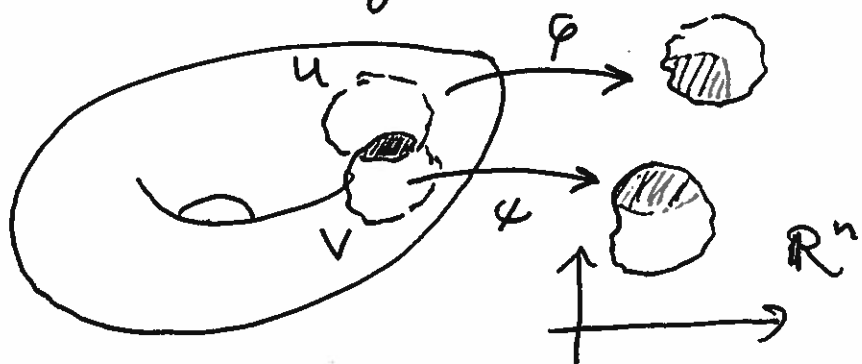


# Lecture 3: Smooth maps and diffeomorphisms.

(1)

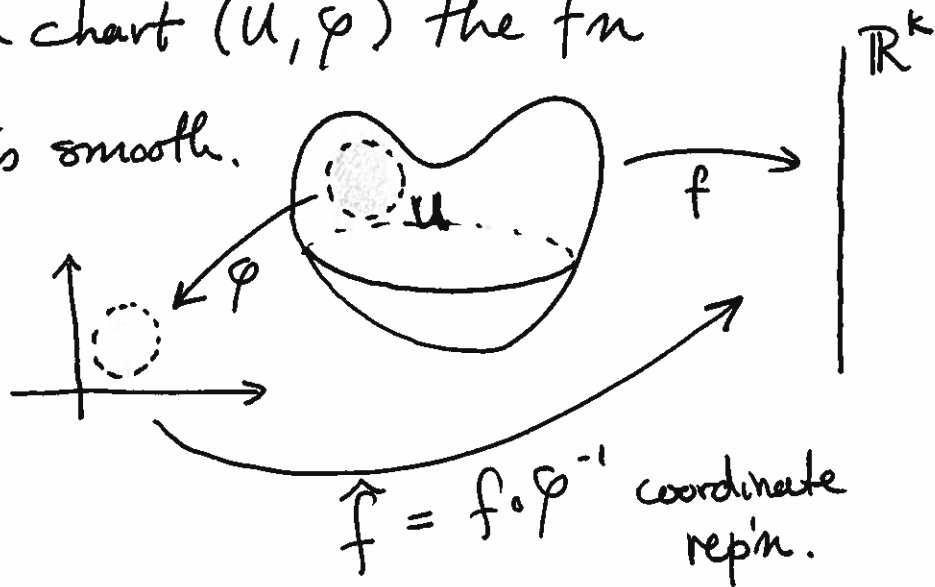
Last time:

Smooth manifold: A topological manifold  $M$  with charts covering  $M$  so that each pair is compatible, i.e.



$\psi \circ \phi^{-1}: \phi(U \cap V) \rightarrow \psi(U \cap V)$   
is a diffeomorphism.

Smooth fn:  $M$  a smooth mfd. A fn  $f: M \rightarrow \mathbb{R}^k$  is smooth if for every smooth chart  $(U, \phi)$  the fn  $f \circ \phi^{-1}: \phi(U) \rightarrow \mathbb{R}^k$  is smooth.



Reminders:

- No class on Monday
- HW #1 due Wednesday, Sept 3.

Lemma:  $M$  smooth,  $f: M \rightarrow \mathbb{R}^k$ . If every  $p \in M$  is contained in a smooth chart where  $\hat{f}$  is smooth, then  $f$  is smooth.

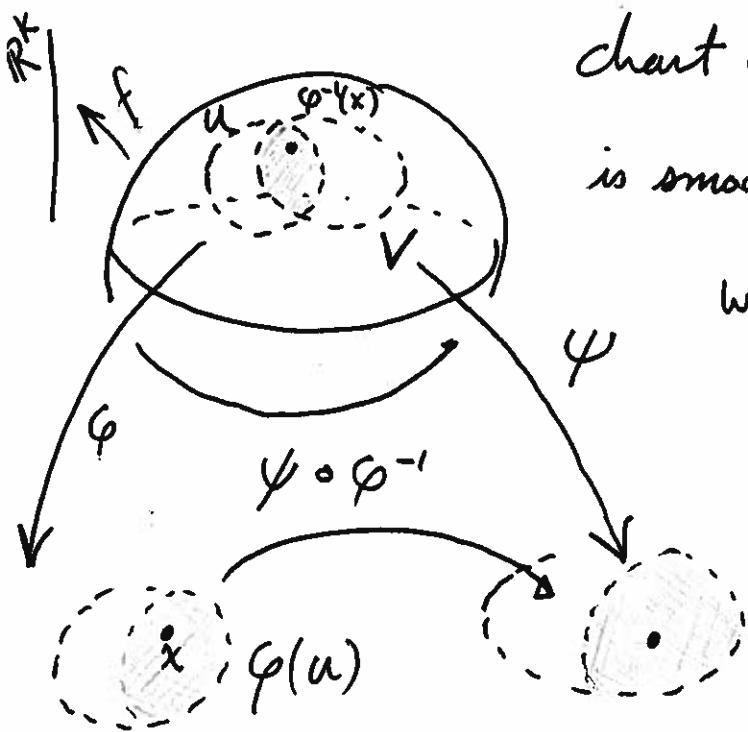
Pf: Given an arbitrary smooth chart  $(U, \varphi)$  need to show  $\hat{f}$  is smooth. Since smoothness is local, focus on  $x \in \varphi(U)$ . Let  $(V, \psi)$  be a smooth

chart where  $\varphi^{-1}(x) \in V$  and  $f \circ \psi^{-1}$  is smooth. On  $\varphi(U \cap V)$ ,

we have

$$f \circ \varphi^{-1} = \underbrace{(f \circ \psi^{-1})}_{\text{smooth}} \circ \underbrace{(\psi \circ \varphi^{-1})}_{\text{smooth}}$$

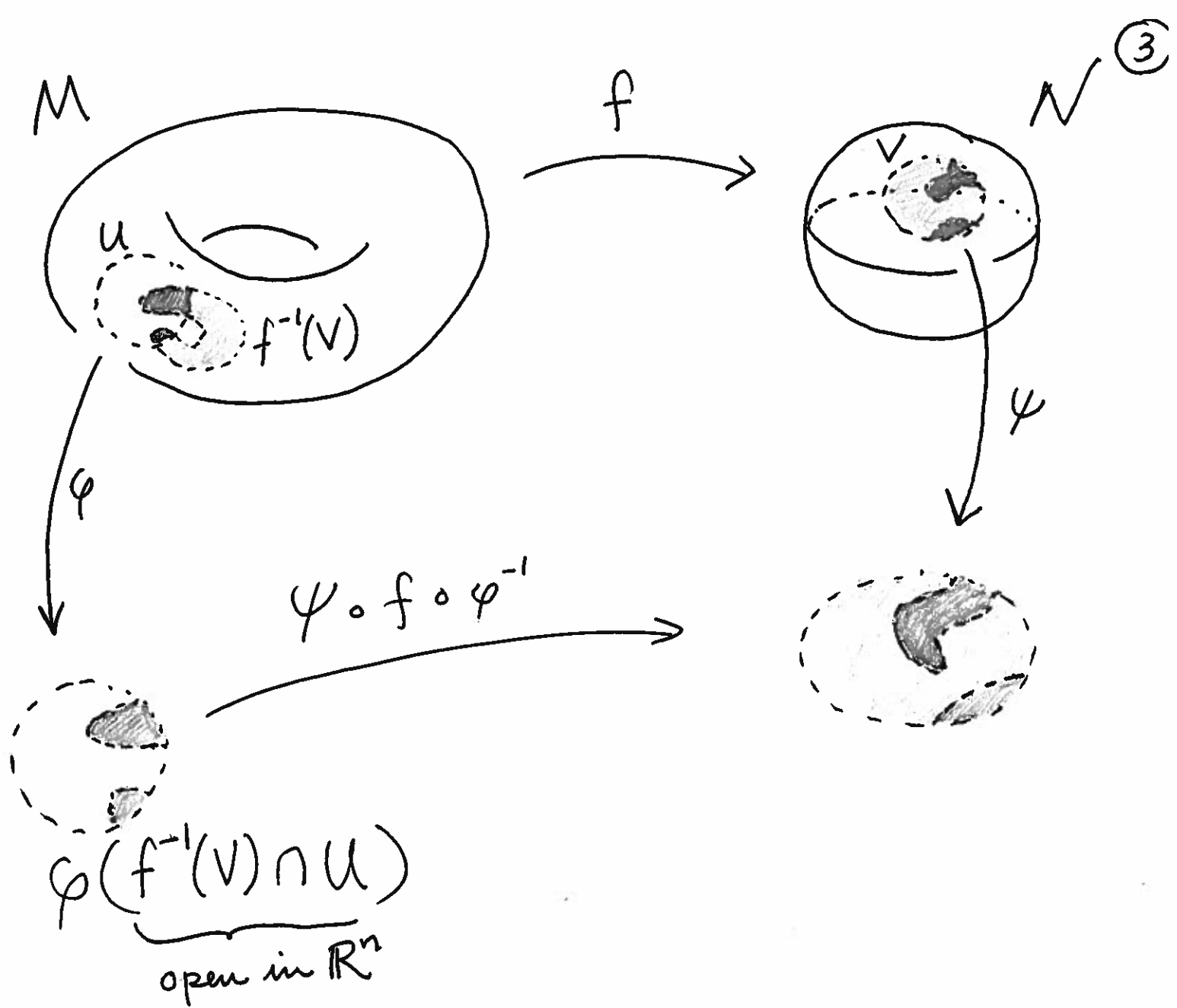
So  $\hat{f}$  is smooth. ▣



Def: A continuous  $f: M \rightarrow N$  between smooth mflds is smooth if for all smooth charts  $(U, \varphi)$  of  $M$  and  $(V, \psi)$  of  $N$  the fn:

$$\psi \circ f \circ \varphi^{-1}: \varphi(f^{-1}(V) \cap U) \rightarrow \psi(V)$$

is smooth.



Note: If  $X \subseteq \mathbb{R}^n$  is any set, we say  $f: \mathbb{R}^n \rightarrow \mathbb{R}^m$  is smooth if  $\exists$  open  $U \supseteq X$  and a smooth fn  $\bar{f}: U \rightarrow \mathbb{R}^m$  where  $\bar{f}|_X = f$ .

Need this for manifolds with boundary.

Lemma [Lee pgs 34-36] Equivalently, a function  $f: M \rightarrow N$  is smooth if  $\forall p \in M$  there are charts  $(U, \varphi)$  of  $M$  and  $(V, \psi)$  of  $N$  so that

- ①  $p \in U$  and  $f(U) \subseteq V$
- ②  $\psi \circ f \circ \varphi^{-1}: \varphi(U) \rightarrow \psi(V)$  is smooth.

[In this version,  $f$  is not assumed to be continuous]

④  
Just mention, don't write down.

Def: A diffeomorphism between smooth manifolds  $M$  and  $N$  is a bijection  $f: M \rightarrow N$  where  $f$  and  $f^{-1}$  are both smooth.

Fact: There are 28 smooth 7-mflds  $M_1, \dots, M_{27}$  so no pair are diffeomorphic but each is homeomorphic to  $S^7$ .

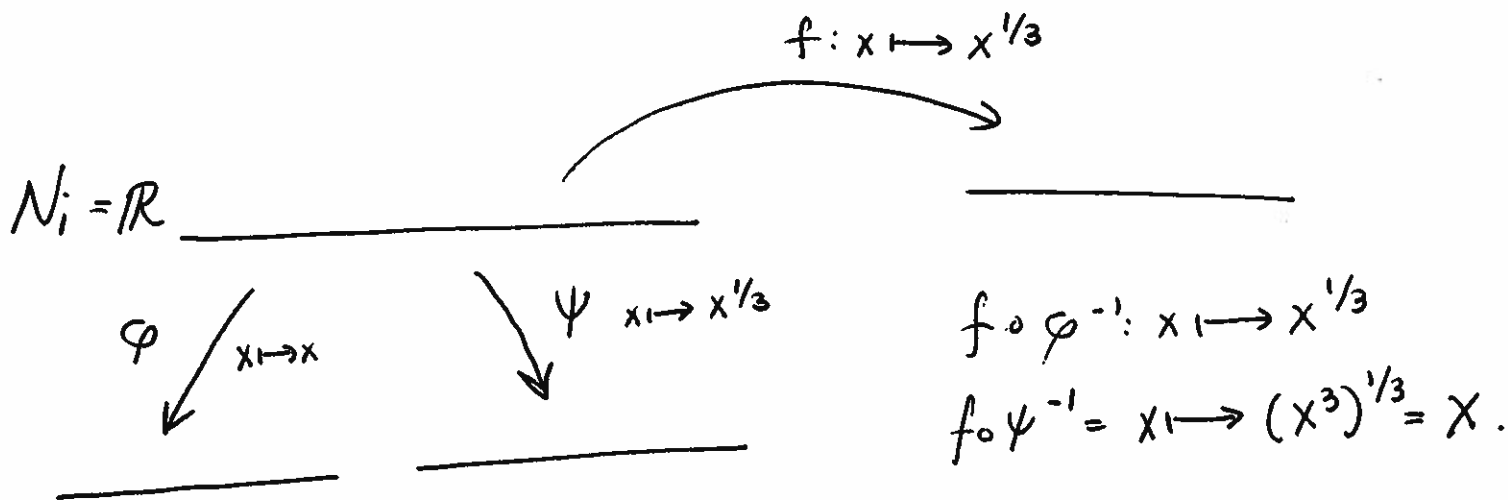
Ex:  $N_1 = \mathbb{R}$  with  $\mathcal{A}_1 = \overline{\{(U, \varphi) \mid U = \mathbb{R}, \varphi = \text{id}\}}$

$N_2 = \mathbb{R}$  with  $\mathcal{A}_2 = \overline{\{(V, \psi) \mid V = \mathbb{R}, \psi = \frac{\cdot}{x^3}\}}$

$A_1 \neq A_2$  since  $f: \mathbb{R} \rightarrow \mathbb{R} \quad x \mapsto x^{1/3}$

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is not smooth w.r.t.  $A_1$ , but is smooth w.r.t.  $A_2$ .

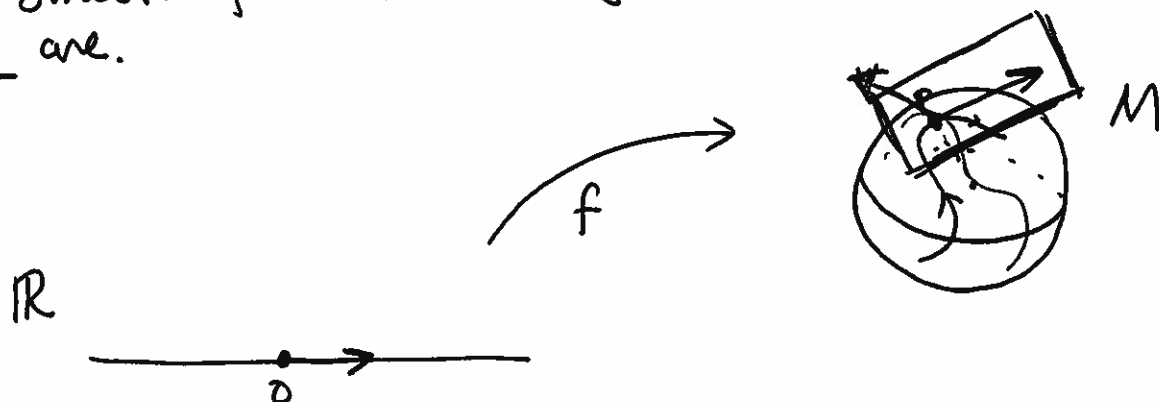


But,  $N_1$  and  $N_2$  are diffeomorphic, via

$h: N_1 \rightarrow N_2$ . [Check this!]

$x \mapsto x^3$

[Remember goal: Do calculus. Somehow, I've managed to define smooth fns w/o saying what their derivatives are.]



What is the derivative of  $f$  at  $t=0$

Think back:  $f: \mathbb{R}^n \rightarrow \mathbb{R}^k$  smooth. For  $p \in \mathbb{R}^n$

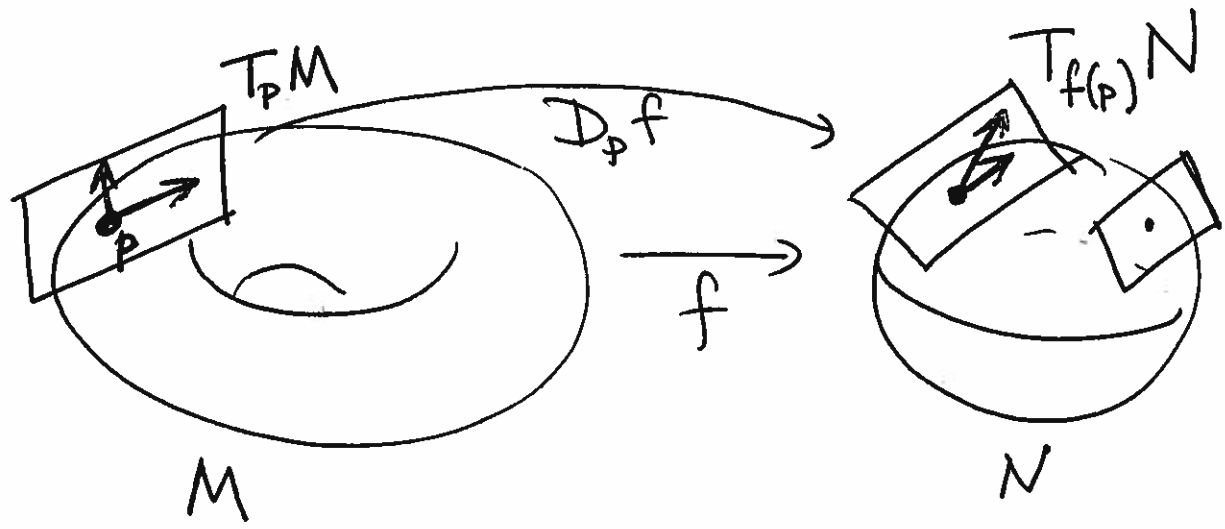
$D_p f$  is the linear trans  $\mathbb{R}^n \rightarrow \mathbb{R}^k$  best approx  $f$  near  $p$ , that is

$$f(p+v) = f(p) + (D_p f) \cdot v + O(|v|^2)$$

Concretely

$$D_p f = \begin{pmatrix} \frac{\partial f_1}{\partial x_1} & \frac{\partial f_1}{\partial x_2} & \dots & \frac{\partial f_1}{\partial x_n} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial f_k}{\partial x_1} & \dots & \dots & \frac{\partial f_k}{\partial x_n} \end{pmatrix}$$

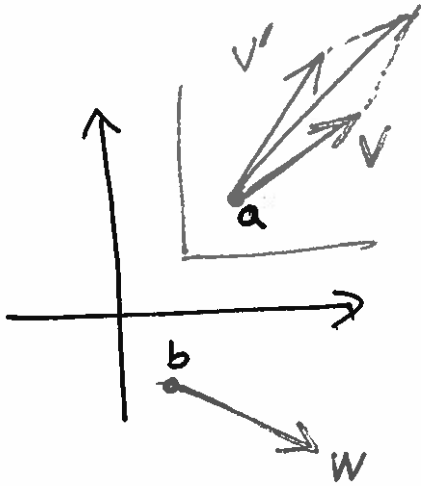
where  $f = (f_1, \dots, f_k)$ . Basic idea for mflds:



~~First~~ First need to figure out what  $T_p M$  is.

Ex:  $T_a \mathbb{R}^n = \{a\} \times \mathbb{R}^n = \{(a, v) \mid v \in \mathbb{R}^n\}$

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$(a, v) + (a, v') = (a, v + v')$   
 $(a, v) + (b, w) = \text{Nothing in particular}$

Now, view  $D_p f: T_p \mathbb{R}^n \rightarrow T_{f(p)} \mathbb{R}^k$

Ex:  ~~$S^2$~~   $S^2 = \{x \in \mathbb{R}^3 \mid |x| = 1\}$   
 $T_p S^2 = \{(p, v) \in T_p \mathbb{R}^3 \mid v \cdot p = 0\}$



What to do in general? At least 3 ways to do this...

Suppose  $f: (U \subseteq \mathbb{R}^n) \rightarrow \mathbb{R} \quad a \in U$

Recall

directional derivative of  $f$  at  $a$  in direction  $u$   $= \frac{d}{dt} (f(a + tv)) \Big|_{t=0} = (D_a f) \cdot v$   
"  $\nabla f(a)$

Let  $C^\infty(\mathbb{R}^n)$  denote the set of smooth fns  $\mathbb{R}^n \rightarrow \mathbb{R}$ , Consider

$D_{(a,v)} : C^\infty(\mathbb{R}^n) \rightarrow \mathbb{R}$

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Note: (a)  $D_{(a,v)}(cf+g) = c D_{(a,v)}f + D_{(a,v)}g$   
 for all  $f, g \in C^\infty(\mathbb{R}^n)$ ,  $c \in \mathbb{R}$

(b)  $D_{(a,v)}(fg) = f(a) D_{(a,v)}g + g(a) D_{(a,v)}f$

A map  $w: C^\infty(\mathbb{R}) \rightarrow \mathbb{R}$  is called a

derivation at  $a$  if (a)  $w$  is  $\mathbb{R}$ -linear

(b)  $w(f \cdot g) = f(a) w(g) + g(a) w(f)$

$\mathcal{D}_a = \{ \text{set of all derivations at } a \} \leftarrow \text{Vector space} / \mathbb{R}$

Prop:  $T_a \mathbb{R}^n \xrightarrow{\quad} \mathcal{D}_a$   
 $(a,v) \longmapsto D_{(a,v)}$

is an isomorphism of vector spaces.

Tricky bit: That this is onto.