

Lecture 10: Immersions and submersions in local coordinates; preimages of regular values. ①

Inverse Function Thm: Suppose  $F: (U \subseteq \mathbb{R}^n) \rightarrow \mathbb{R}^n$  is smooth.

If  $D_p F$  is invertible for some  $p \in U$ , then  $\exists B = B_r(p) \subseteq U$

so that ①  $F|_B$  is 1-1 ②  $F(B)$  is open ③  $(F|_B)^{-1}$  is smooth.

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Cor. Suppose  $F: M \rightarrow N$  is a smooth homeomorphism

If  $dF_p$  is invertible  $\forall p \in M$  then  $F$  is a diffeomorphism.

Thm: Suppose  $F: M^m \rightarrow N^n$  is smooth,  $p \in M$ .

① If  $F$  is an immersion,  $\exists$  coordinates  $(U, \varphi)$  of  $M$  and  $(V, \psi)$  of  $N$  so that  $\varphi(p) = 0$ ,  $\psi(p) = 0$  and

$$\hat{F}(x_1, x_2, \dots, x_m) = (x_1, x_2, \dots, x_m, \underbrace{0, \dots, 0}_{n-m})$$

② If  $F$  is a submersion, can arrange that

$$\hat{F}(x_1, x_2, \dots, x_m) = (x_1, x_2, \dots, x_n)$$

[ Lee calls this the "Rank Thm"; includes the broader class of maps of "constant rank." ]

Proof Since statement is local, can take  $M = \mathbb{R}^m$ ,  $N = \mathbb{R}^n$ ,  $p = 0$ ,  $F(p) = 0$ . (2)

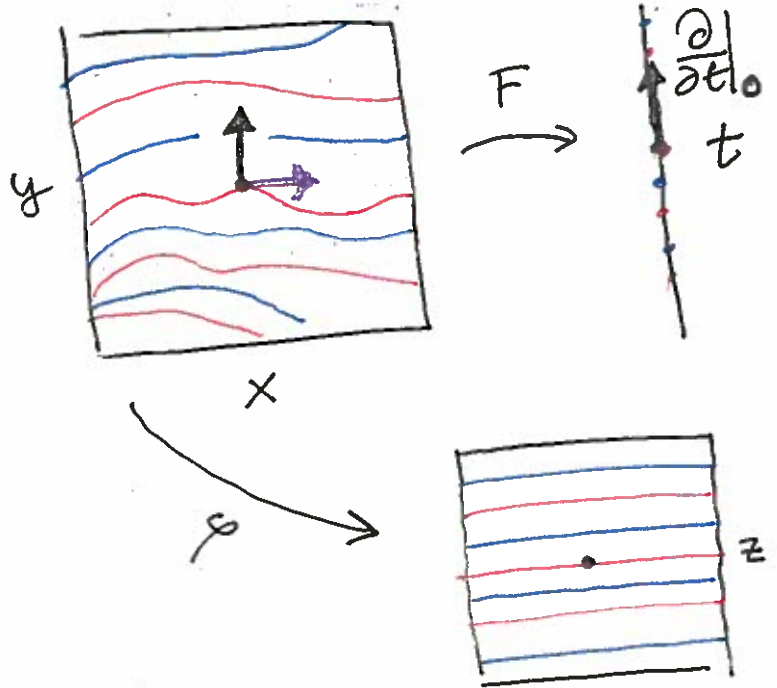
(a)  $m = 2, n = 1$

Do a linear change of coordinates so that

$$dF_0\left(\frac{\partial}{\partial y}\Big|_0\right) = \frac{\partial}{\partial t}\Big|_0$$

and

$$dF_0\left(\frac{\partial}{\partial x}\Big|_0\right) = 0.$$



Set  $\varphi(x, y) = (x, F(x, y))$ . Claim:  $\varphi$  is a

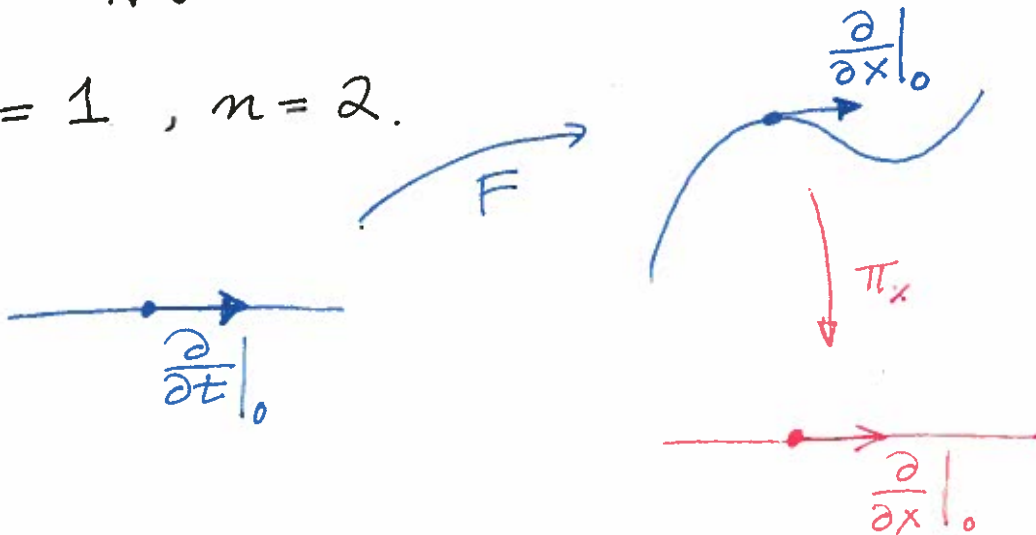
diffeo near 0. If so, then  $\hat{F}(x, z) = z$  so done.

As

$$d\varphi_0 = \begin{pmatrix} 1 & 0 \\ \frac{\partial F}{\partial x}\Big|_0 & \frac{\partial F}{\partial y}\Big|_0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ \frac{\partial F}{\partial x}\Big|_0 & 1 \end{pmatrix} \leftarrow \begin{matrix} \det = 1 \\ \text{so} \\ \text{nonsingular} \end{matrix}$$

we can apply the IVT to do the trick.

(b)  $m = 1, n = 2$ .



(3)

Can assume  $dF\left(\frac{\partial}{\partial t}\Big|_0\right) = \frac{\partial}{\partial x}\Big|_0$ . Now

$d(\pi_x \circ F)_0\left(\frac{\partial}{\partial t}\Big|_0\right) = \frac{\partial}{\partial x}\Big|_0$  so  $\exists U \subseteq \mathbb{R}$  containing 0

on which  $\pi_x \circ F$  is a diffeo; set  $h = (\pi_x \circ F|_U)^{-1}$ ,

and consider  $\psi(x, y) = (h(x), y)$ . Then

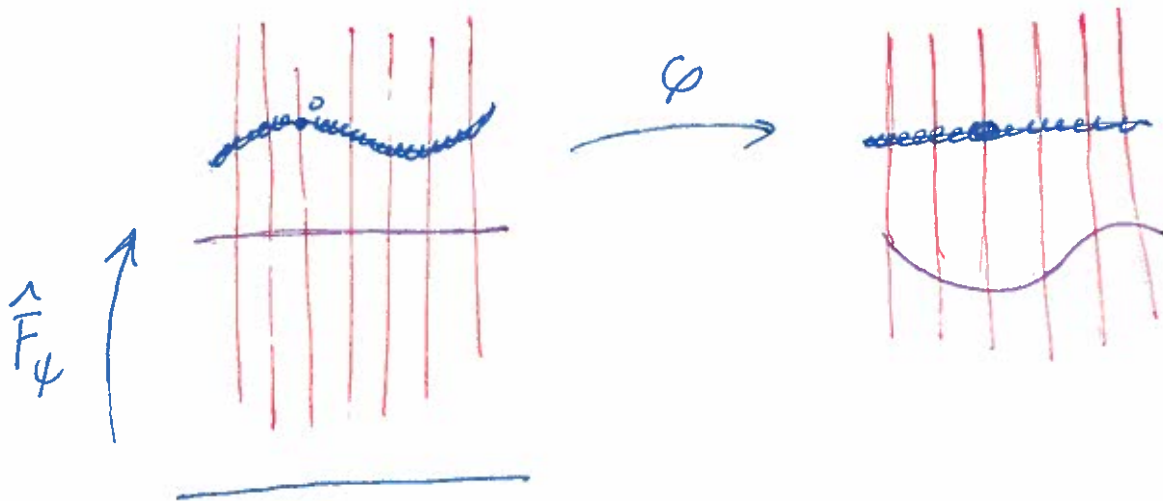
$$\hat{F}_\psi(t) = (h(\pi_x(F(t))), \pi_y F(t)) = (t, \underbrace{\pi_y F(t)}_{f(t)})$$

Set  $\varphi(x, y) = \varphi(x, y - f(x))$ . Also a

change of coordinates since  $d\varphi_0 = \begin{pmatrix} 1 & 0 \\ -f'(x) & 1 \end{pmatrix}$ .

Now

$$\hat{F}_{\varphi \circ \psi}(t) = \varphi(\hat{F}_\psi(t)) = (t, f(t) - f(t)) = (t, 0)$$



Thm: Suppose  $F: M^m \rightarrow N^n$  is a submersion.

For any  $q \in N$ , the preimage  $F^{-1}(q)$  is an embedded submanifold of  $M$  of dim  $m-n$ .

Proof Sketch: Set  $S = F^{-1}(q)$ . [Need to give charts!]

Let  $p \in S$ . By Thm,  $\exists$  chart  $(U, \varphi)$  at  $p$  so that

$\varphi(U) = (-1, 1)^m$ ,  $\varphi(p) = 0$ , and  $\hat{F} =$  projection onto first  $n$  coord.

So  $\varphi(S \cap U) = \underbrace{(0, \dots, 0)}_n \times \mathbb{R}^{m-n}$ . Define  $V = S \cap U$  and

$\psi: V \rightarrow \mathbb{R}^{m-n}$  by  $\pi_{\substack{\text{last } m-n \\ \text{coord}}} \circ \varphi$ . Clearly a local homeo.

To check compatibility of charts, use that

$\psi^{-1}: \mathbb{R}^{m-n} \rightarrow V$  is smooth since its just

$(x_1, \dots, x_{m-n}) \mapsto (0, 0, \dots, 0, x_1, \dots, x_{m-n})$

in the  $U$  coordinates.

