

Lecture 9: Basis and dimension, part I.

①

Last time: A subset S of a vector space V is linearly dependent if there are distinct u_1, u_2, \dots, u_k in S and scalars a_1, \dots, a_k , not all zero, such that

$$a_1 u_1 + a_2 u_2 + \dots + a_k u_k = 0.$$

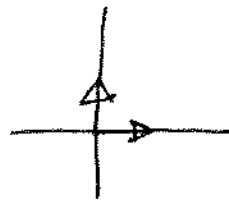
Otherwise, call S linearly independent. [Restate]

Def: A subset S of a vector space V spans (generates) V if $\text{span}(S) = V$.

[Also, say that S spans V ; makes sense for subspaces.]

Def: A basis for V is a subset β which is linearly independent and spans V .

Ex: ① $\beta = \{(1, 0), (0, 1)\}$ is a basis for \mathbb{R}^2



② Set $e_1 = (1, 0, \dots, 0)$, $e_2 = (0, 1, 0, \dots, 0)$, \dots

$e_n = (0, \dots, 0, 1)$. Then $\beta = \{e_1, e_2, \dots, e_n\}$ is the standard basis for \mathbb{R}^n .

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[Query] These are linearly independent

$$\text{as if } 0 = \sum_{i=1}^n a_i e_i = (a_1, a_2, \dots, a_n)$$

we have all $a_i = 0$.

Ex: $P_n(\mathbb{R}) = \{ \text{polys of } \deg \leq n \}$ has basis $\{1, x, x^2, \dots, x^n\}$.

Thm: If a vector space V has a finite spanning set S then V has a finite basis.

Pf. Suppose $S = \{u_1, \dots, u_n\}$. By last time,

there is a linearly indep. subset $\{u_{i_1}, \dots, u_{i_k}\}$

which has the same span as S , and this is a basis for V . ▣

Fact: Any vector space has a basis, even $\mathcal{F}(\mathbb{R}, \mathbb{R})$.

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Thm: Suppose $\beta = \{u_1, \dots, u_n\}$ is a subset of a vector space V . Then β is a basis if and only if every $v \in V$ can be uniquely expressed as a linear comb of the vectors in β .

Proof: (\Rightarrow) Suppose β is a basis. As β spans V , given any $v \in V$ there are scalars a_i with

$$v = a_1 u_1 + \dots + a_n u_n$$

To show uniqueness, suppose that

$$v = b_1 u_1 + \dots + b_n u_n$$

Subtracting, we get

$$0 = (a_1 - b_1)u_1 + (a_2 - b_2)u_2 + \dots + (a_n - b_n)u_n$$

As the u_i are linearly independent, must have $a_i = b_i$ for all i . Thus any v has a

unique expression as a linear comb of the u_i .

(\Leftarrow) Suppose every v in V has a unique expression in terms of β . In particular, $\text{span}(\beta) = V$. To show linear indep, suppose

$$a_1 u_1 + a_2 u_2 + \dots + a_n u_n = 0$$

As $0 \in V$ has only one expression in terms of β , we must have all $a_i = 0$. So β is linearly indep and hence a basis. \square

Thm: If V has a finite basis, then any two bases for V have the same number of vectors.

Pf: Next time.

Def: A vector sp V is finite-dimensional if has a finite basis. The number of vectors in any basis is called the dimension of V and denoted $\dim V$.

Otherwise, call V infinite dimensional.

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Ex: $\dim \mathbb{R}^n = n$

$$\dim \{0\} = 0$$

$$\dim P_n(\mathbb{R}) = n+1$$

$$\dim(P(\mathbb{R}) = \text{polys in } x \text{ any degree}) = \infty$$

as basis is $\{1, x, x^2, x^3, \dots\}$

Thm: Suppose W is a subspace of a finite dim'l V .

Then $\dim W \leq \dim V$. Moreover $\dim W = \dim V$ if and only if $W = V$.

Pf: Next time.

Corollary: If $\beta = \{u_1, \dots, u_n\}$ is linearly independent in V where $\dim V = n$, then β is a basis for V .

Proof: Let $W = \text{span}(\beta)$. As β spans W and is linearly indep, it is a basis for W .

So $\dim W = n = \dim V$ and so $V = W$

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by thm. Thus β spans V and so is a

basis for V . ▣

Ex: $\beta = \left\{ \begin{array}{l} u_1 = (1, 2, 3) \\ u_2 = (4, 5, 6) \\ u_3 = (7, 8, 10) \end{array} \right\}$ is a basis for \mathbb{R}^3 .

By Cor. need only check linear indep.

From last time, we know this is equiv to the

null space of $\begin{pmatrix} 1 & 4 & 7 \\ 2 & 5 & 8 \\ 3 & 6 & 10 \end{pmatrix}$ being $\{0\}$.

This is the case as this row reduces to

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

corresponding to $a_1 = 0$, $a_2 = 0$, and $a_3 = 0$.