



# Hyperbolically twisted Alexander polynomials of knots

Nathan M. Dunfield  
University of Illinois

Stefan Friedl  
Köln

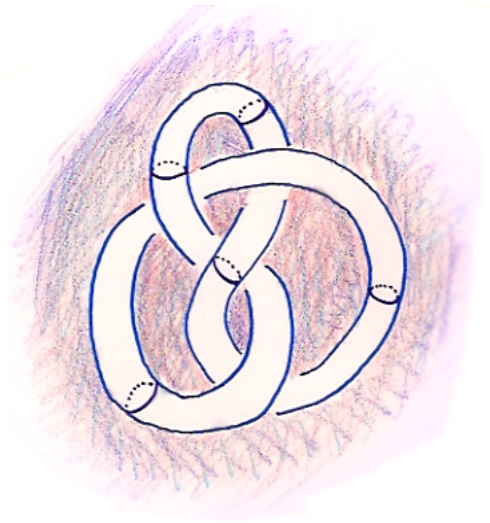
Nicholas Jackson  
Warwick

Gauge Theory Seminar, April 6, 2012

This talk available at <http://dunfield.info/>  
Math blog: <http://ldtopology.wordpress.com/>

Setup:

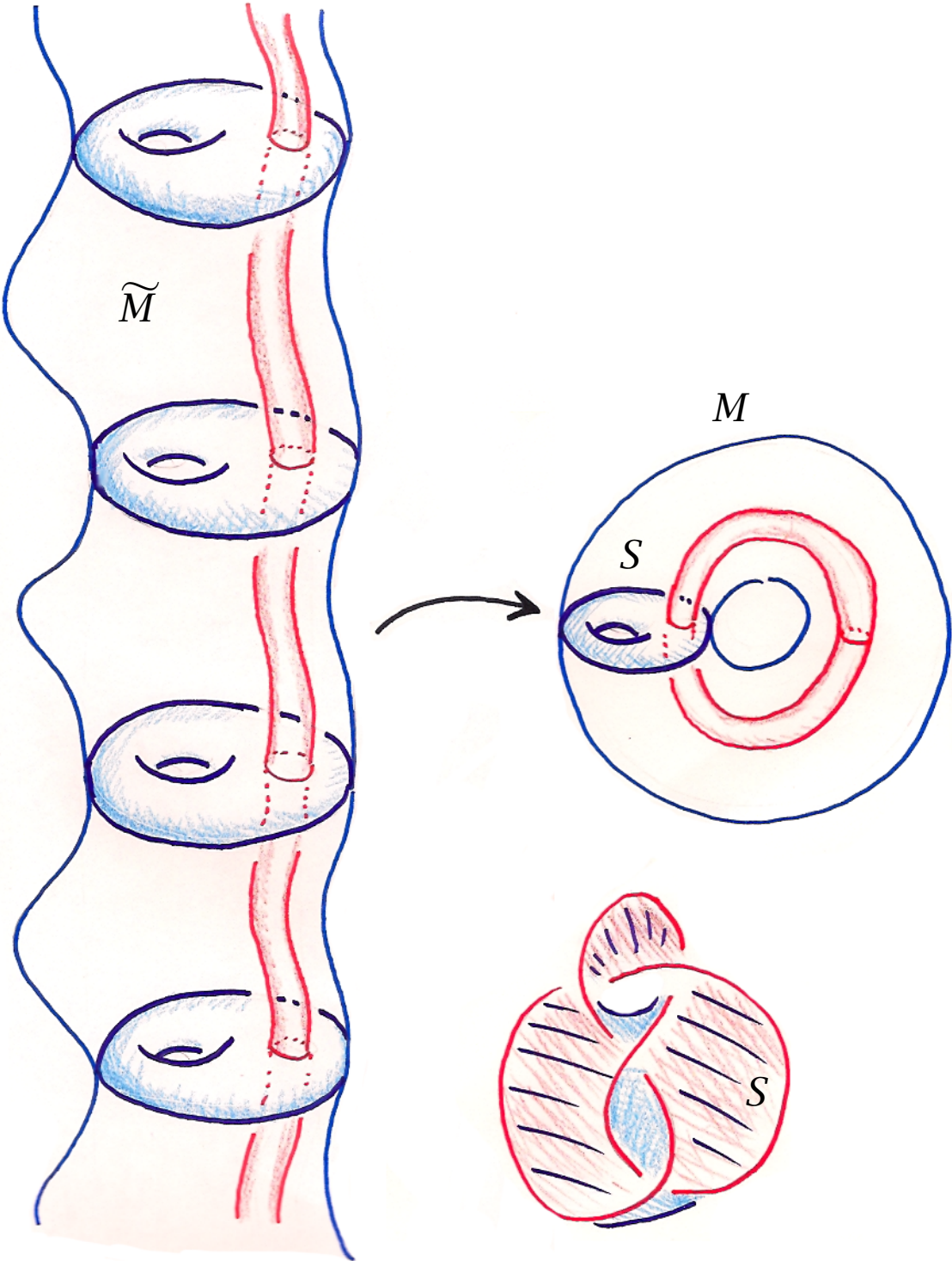
- Knot:  $K = S^1 \hookrightarrow S^3$
- Exterior:  $M = S^3 - \mathring{N}(K)$



A basic and fundamental invariant of  $K$  is its *Alexander polynomial* (1923):

$$\Delta_K(t) = \Delta_M(t) \in \mathbb{Z}[t, t^{-1}]$$

Universal cyclic cover: corresponds to the kernel of the unique epimorphism  $\pi_1(M) \rightarrow \mathbb{Z}$ .



$A_M = H_1(\widetilde{M}; \mathbb{Q})$  is a module over  $\Lambda = \mathbb{Q}[t^{\pm 1}]$ , where  $\langle t \rangle$  is the covering group.

As  $\Lambda$  is a PID,

$$A_M = \prod_{k=0}^n \Lambda / (p_k(t))$$

Define

$$\Delta_M(t) = \prod_{k=0}^n p_k(t) \in \mathbb{Q}[t, t^{-1}]$$

Figure-8 knot:

$$\Delta_M = t - 3 + t^{-1}$$

Genus:

$$\begin{aligned} g &= \min (\text{genus of } S \text{ with } \partial S = K) \\ &= \min (\text{genus of } S \text{ gen. } H_2(M, \partial M; \mathbb{Z})) \end{aligned}$$

Fundamental fact:

$$2g \geq \deg(\Delta_M)$$

Proof: Note  $\deg(\Delta_M) = \dim_{\mathbb{Q}}(A_M)$ . As  $A_M$  is generated by  $H_1(S; \mathbb{Q}) \cong \mathbb{Q}^{2g}$ , the inequality follows.

$\Delta(t)$  determines  $g$  for all alternating knots and all fibered knots.

Kinoshita-Terasaka knot:  $\Delta(t) = 1$  but  $g = 2$ .



Idea: Improve  $\Delta_M$  by looking at  $H_1(\tilde{M}; V_\rho)$  for the system of local coefficients coming from a representation  $\alpha: \pi_1(M) \rightarrow GL(V)$ . [Lin 1990; Wada 1994,...]

Twisted Alexander polynomial:  $\tau_{M,\alpha} \in \mathbb{F}[t^{\pm 1}]$

Technically, it's best to define  $\tau_{M,\alpha}$  as a torsion, a la Reidemeister/Milnor/Turaev.

Genus bound: When  $\alpha$  is irreducible and non-trivial:

$$2g - 1 \geq \frac{1}{\dim V} \deg(\tau_{M,\alpha}) \quad (\star)$$

Proof:

$$\begin{aligned} \deg(\tau_{M,\alpha}) &= \dim H_1(\widetilde{M}; V_\alpha) \\ &\leq \dim H_1(S; V_\alpha) = (\dim V) \cdot |\chi(S)| \end{aligned}$$

**Thm (Friedl-Vidussi, using Agol and Wise)**

*If  $M$  is hyperbolic, then there exists some  $\alpha$  where  $(\star)$  is sharp.*

Idea: By Wise,  $\pi_1(M)$  is virtually special, hence RFRS. By Agol, there exists a finite cover of  $M$  where the lift of  $S$  is a limit of fiberations. Use  $\alpha$  associated to this cover.



Assumption:  $M$  is hyperbolic, i.e.

$$\mathring{M} = \mathbb{H}^3 / \Gamma \quad \text{for a lattice } \Gamma \leq \text{Isom}^+ \mathbb{H}^3$$

Thus have a faithful representation

$$\alpha: \pi_1(M) \rightarrow \text{SL}_2\mathbb{C} \leq \text{GL}(V) \quad \text{where } V = \mathbb{C}^2.$$

Hyperbolic Alexander polynomial:

$$\tau_M(t) \in \mathbb{C}[t^{\pm 1}] \quad \text{coming from } H_1(\widetilde{M}; V_\alpha).$$

Examples:

- Figure-8:  $\tau_M = t - 4 + t^{-1}$
- Kinoshita-Terasaka:

$$\begin{aligned} \tau_M \approx & (4.417926 + 0.376029i)(t^3 + t^{-3}) \\ & - (22.941644 + 4.845091i)(t^2 + t^{-2}) \\ & + (61.964430 + 24.097441i)(t + t^{-1}) \\ & - (-82.695420 + 43.485388i) \end{aligned}$$

## Basic Properties:

- $\tau_M$  is an unambiguous element of  $\mathbb{C}[t^{\pm 1}]$  with  $\tau_M(t) = \tau_M(t^{-1})$ .
- The coefficients of  $\tau_M$  lie in  $\mathbb{Q}(\text{tr}(\Gamma))$  and are often algebraic integers.
- $\tau_M(\zeta) \neq 0$  for any root of unity  $\zeta$ .
- $\tau_{\overline{M}} = \overline{\tau_M(t)}$
- $M$  amphichiral  $\Rightarrow \tau_M(t) \in \mathbb{R}[t^{\pm 1}]$ .
- Genus bound:

$$4g - 2 \geq \deg \tau_M(t)$$

For the KT knot,  $g = 2$  and  $\deg \tau_M(t) = 6$  so this is sharp, unlike with  $\Delta_M$ .

## Knots by the numbers:

313,231    number of prime knots with  
at most 15 crossings. [HTW 98]

22    number which are non-hyperbolic.

8,834    number where  $2g > \deg(\Delta_M)$ .

7,972    number of non-fibered knots  
where  $\Delta_M$  is monic.

## Basic Properties:

- $\tau_M$  is an unambiguous element of  $\mathbb{C}[t^{\pm 1}]$  with  $\tau_M(t) = \tau_M(t^{-1})$ .
- The coefficients of  $\tau_M$  lie in  $\mathbb{Q}(\text{tr}(\Gamma))$  and are often algebraic integers.
- $\tau_M(\zeta) \neq 0$  for any root of unity  $\zeta$ .
- $\tau_{\overline{M}} = \overline{\tau_M(t)}$
- $M$  amphichiral  $\Rightarrow \tau_M(t) \in \mathbb{R}[t^{\pm 1}]$ .
- Genus bound:

$$4g - 2 \geq \deg \tau_M(t)$$

For the KT knot,  $g = 2$  and  $\deg \tau_M(t) = 6$  so this is sharp, unlike with  $\Delta_M$ .

## Knots by the numbers:

- 313,231 number of prime knots with at most 15 crossings. [HTW 98]
- 22 number which are non-hyperbolic.
- 8,834 number where  $2g > \deg(\Delta_M)$ .
- 7,972 number of non-fibered knots where  $\Delta_M$  is monic.
- 0 number where  $4g - 2 > \deg(\tau_M)$ .
- 0 number of non-fibered knots where  $\tau_M$  is monic.

**Conj.**  $\tau_M$  determines the genus and fibering for any hyperbolic knot in  $S^3$ .

Computing  $\tau_M$ : Approximate  $\pi_1(M) \rightarrow \mathrm{SL}_2\mathbb{C}$  to 250 digits by solving the gluing equations associated to some ideal triangulation of  $M$  to high precision.

Genus and fibering for most of these knots was previously unknown; Haken-style normal surface algorithms are impractical in this range, various tricks were used.

**Q. How can we prove this conjecture?**

Not known to be true for infinitely many non-fibered knots!

If conjecture and GRH are true, then knot genus is in **NP**  $\cap$  **co-NP**.

## Approach 1: Deform the representation

Can consider other reps to  $SL_2\mathbb{C}$ , understand how  $\tau_{M,\alpha}$  varies as you move around the character variety:

Example:  $m037$ ,  $X_0 = \mathbb{C} \setminus \{-2, 0, 2\}$

$$\tau_{X_0}(t) = \frac{(u+2)^4}{16u^2} (t + t^{-1}) + \frac{(u+2)(u^4 + 4u^3 - 8u^2 + 16u + 16)}{8(u-2)u^2}$$

Can sometimes connect this universal polynomial to  $\Delta_M$ .

Ideal points corresponding to  $S$ : not helpful.

**Approach 2:** Use adjoint representation

$\text{Isom}^+(\mathbb{H}^3) = \text{PSL}_2(\mathbb{C}) \rightarrow \text{Aut}(\mathfrak{sl}_2) \leq \text{SL}_3\mathbb{C}$   
 to get  $\tau_M^{adj}$  (Dubois-Yamaguchi).

Point:  $T_{[\alpha]}X(\pi_1(M)) = H^1(M, (\mathfrak{sl}_2)_{\text{adj} \circ \alpha})$

8,834 knots where  $2g > \deg(\Delta_M)$ .

knots where  $6g - 3 > \deg(\tau_M^{adj})$ .

7,972 non-fibered with  $\Delta_M$  monic.

non-fibered with  $\tau_M^{adj}$  monic.



## Approach 1: Deform the representation

Can consider other reps to  $SL_2\mathbb{C}$ , understand how  $\tau_{M,\alpha}$  varies as you move around the character variety:

Example:  $m037$ ,  $X_0 = \mathbb{C} \setminus \{-2, 0, 2\}$

$$\tau_{X_0}(t) = \frac{(u+2)^4}{16u^2} (t + t^{-1}) + \frac{(u+2)(u^4 + 4u^3 - 8u^2 + 16u + 16)}{8(u-2)u^2}$$

Can sometimes connect this universal polynomial to  $\Delta_M$ .

Ideal points corresponding to  $S$ : not helpful.

**Approach 2:** Use adjoint representation

$\text{Isom}^+(\mathbb{H}^3) = \text{PSL}_2(\mathbb{C}) \rightarrow \text{Aut}(\mathfrak{sl}_2) \leq \text{SL}_3\mathbb{C}$   
 to get  $\tau_M^{adj}$  (Dubois-Yamaguchi).

Point:  $T_{[\alpha]}X(\pi_1(M)) = H^1(M, (\mathfrak{sl}_2)_{\text{adj} \circ \alpha})$

8,834 knots where  $2g > \deg(\Delta_M)$ .

8,252 knots where  $6g - 3 > \deg(\tau_M^{adj})$ .

7,972 non-fibered with  $\Delta_M$  monic.

0 non-fibered with  $\tau_M^{adj}$  monic.

## Approach 1: Deform the representation

Can consider other reps to  $SL_2\mathbb{C}$ , understand how  $\tau_{M,\alpha}$  varies as you move around the character variety:

Example:  $m037$ ,  $X_0 = \mathbb{C} \setminus \{-2, 0, 2\}$

$$\tau_{X_0}(t) = \frac{(u+2)^4}{16u^2} (t + t^{-1}) + \frac{(u+2)(u^4 + 4u^3 - 8u^2 + 16u + 16)}{8(u-2)u^2}$$

Can sometimes connect this universal polynomial to  $\Delta_M$ .

Ideal points corresponding to  $S$ : not helpful.

**Approach 2:** Use adjoint representation

$\text{Isom}^+(\mathbb{H}^3) = \text{PSL}_2(\mathbb{C}) \rightarrow \text{Aut}(\mathfrak{sl}_2) \leq \text{SL}_3\mathbb{C}$   
to get  $\tau_M^{adj}$  (Dubois-Yamaguchi).

Point:  $T_{[\alpha]}X(\pi_1(M)) = H^1(M, (\mathfrak{sl}_2)_{\text{adj} \circ \alpha})$

8,834 knots where  $2g > \deg(\Delta_M)$ .

8,252 knots where  $6g - 3 > \deg(\tau_M^{adj})$ .

12 knots where  $6g - 9 \geq \deg(\tau_M^{adj})$ .

7,972 non-fibered with  $\Delta_M$  monic.

0 non-fibered with  $\tau_M^{adj}$  monic.

Geometric isolation phenomena

### **Approach 3: Gauge theory**

[Kronheimer-Mrowka] Instanton Floer homology  
detects the genus!