

## Lecture 22: $R[x]$ is a UFD when $R$ is.

①

Gauss's Lemma:  $R$  a UFD with field of fractions  $F$ .

If  $p(x)$  in  $R[x]$  factors in  $F[x]$  into nonconst polys as  $p(x) = A(x)B(x)$ , then  $\exists f \in F$  such that  $a(x) = f^{-1}A(x)$  and  $b(x) = f^{-1}B(x)$  are in  $R[x]$ . Hence  $p = a \cdot b$  and is reducible in  $R[x]$ .

Today: Thm:  $R[x]$  is a UFD if and only if  $R$  is a UFD.

Cor: If  $R$  is a UFD, so is  $R[x_1, x_2, \dots, x_n]$ .

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Caveat:  $2x+2$  is reducible ( $= 2(x+1)$ ) in  $\mathbb{Z}[x]$   
but irreducible in  $\mathbb{Q}[x]$

Cor of Gauss:  $R$  a UFD with field of fractions  $F$ .

If the gcd of the coeffs of  $p \in R[x]$  is 1, then  $p$  factors in  $R[x]$  iff it does in  $F[x]$ .

Pf: [Assume  $p$  is non-const.] By gcd condition any factorization of  $p$  in  $R[x]$  has non-const factors, and hence is also a factorization in  $F[x]$ .

Proof of Thm: ( $\Rightarrow$ ) Discussed last time

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( $\Leftarrow$ ) Suppose  $R$  is a UFD, and let  $p \in R[x]$  be nonconst. Can assume  $\gcd(\text{coeffs}) = 1$ . By Gauss and the fact that  $F[x]$  is a UFD, get

$$P(x) = q_1(x) \cdots q_n(x) \text{ where } q_i(x) \in R[x] \text{ are non-const and irreducible over } F[x].$$

Each  $q_i$  must have  $\gcd(\text{coeffs}) = 1$  since  $P$  does, and hence is irred in  $R[x]$ . So  $P$  has a factorization into irreducibles.

Uniqueness: Suppose  $P = q'_1 \cdots q'_m$  is some other fact. in  $R[x]$  into irreducibles. As it is also a factorization in the UFD  $F[x]$ , have  $n = m$  and can reorder so that  $q_i$  and  $q'_i$  are associates, i.e.  $\exists a_i, b_i \in R$  with  $q_i = \frac{a_i}{b_i} q'_i$ . Then  $b_i q_i = a_i q'_i \in R[x]$  and  $\gcd(\text{coeffs of } b_i q_i) = b_i$  and  $\gcd(\text{coeffs of } a_i q'_i) = a_i$ . Now gcd's are defined up to units, and so  $a_i = u_i b_i$ . Thus  $q_i = u_i q'_i$  and so  $q_i$  and  $q'_i$  are associates in  $R[x]$  as well.  $\blacksquare$

## Irreducibility Criteria:

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Q: How do we test whether  $p \in F[x]$  is reducible?

Prop: If  $\deg p \leq 3$  then  $p$  is red.  $\Leftrightarrow p$  has a root in  $F$ .

Pf: ( $\Rightarrow$ ) If  $p$  is red, then one factor must be linear  $= (ax+b)$  and so  $c = -b/a$  is a root.

( $\Leftarrow$ ) If  $c \in F$  is a root, divide (Euclidean domain!) to get  $p(x) = g(x)(x-c) + r$  where  $r \in F$ . Plugging in  $x=c$  gives  $r=0$ , and so  $p$  factors. □

This is more useful for  $F = \mathbb{F}_p = \mathbb{Z}/p\mathbb{Z}$  than for  $F = \mathbb{Q}$ .

Prop: Suppose  $p(x) \in \mathbb{Z}[x]$  is monic, i.e.  $p(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0$ . Then  $p$  has a root in  $\mathbb{Q}$  iff it has one in  $\mathbb{Z}$ .

Proof: If  $p$  has a root in  $\mathbb{Q}$ , it has a linear factor  $\Rightarrow$  has a linear factor over  $\mathbb{Z}[x]$

Gauss

$\Rightarrow$  Has a monic linear factor  $\Rightarrow$  has a root in  $\mathbb{Z}$ .

↑ see ⚫ on next page.



(\*) If a monic poly factors in  $R[x]$  it does so into monic factors:

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$$x^n + a_{n-1}x^{n-1} + \dots = (b_k x^k + b_{k-1} x^{k-1} + \dots)(c_\ell x^\ell + \dots)$$

$$\Rightarrow b_k c_\ell = 1 \Rightarrow = (x^k + c_\ell b_{k-1} x^{k-1} + \dots)(x^\ell + b_k c_{\ell-1} x^{\ell-1} + \dots)$$

Ex:  $x^3 - 3x - 1$  is irred in  $\mathbb{Q}[x]$  since the only poss roots in  $\mathbb{Z}$  are  $\pm 1$  and neither works.

Prop:  $R$  a ring,  $I \neq R$  an ideal. Suppose  $p \in R[x]$  is a nonconst monic poly. If  $\bar{p}(x)$  is irred in  $(R/I)[x]$  then  $p(x)$  is irred in  $R[x]$ .

Reasons we restrict to monic:

(a)  $3x^2 + 3$  factors in  $\mathbb{Z}[x]$  but is irreducible in  $(\mathbb{Z}/7\mathbb{Z})[x]$ .

(b)  $2x^2 + 3x + 2$  factor in  $\mathbb{Z}[x]$  as  $(2x+2)(x+1)$   
but is irred. in  $(\mathbb{Z}/2\mathbb{Z})[x]$  as  
 $\bar{p} = x$ .

Could also require  $\gcd(\text{coeff}) = 1$  and  $\deg \bar{p} = \deg p$ .

Ex:  $x^3 - 3x - 1$  is irreducible in  $\mathbb{Z}[x]$  as in  $(\mathbb{Z}/2\mathbb{Z})[x]$  it is  $x^3 + x + 1$  which has no roots. (5)

Not foolproof:  $x^4 - 72x^2 + 4$  is irreducible in  $\mathbb{Z}[x]$  but factors in  $(\mathbb{Z}/n\mathbb{Z})[x]$  for any  $n$ .

Eisenstein's Crit.:  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in \mathbb{Z}[x]$ .  
If  $p \in \mathbb{Z}$  is a prime dividing all  $a_i$  and  $p^2 \nmid a_0$  then  $f$  is irreducible in  $\mathbb{Z}[x]$ .

Pf. If  $f = a \cdot b$  then have  $x^n = \bar{a} \cdot \bar{b}$  in  $\mathbb{F}_p[x]$ .  
Thus both  $a$  and  $b$  have const term divisible by  $p \Rightarrow p^2 \mid a_0$  a contradiction. ◻